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Group Art Unit: 1754

#13/43
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: MATSUI, Hisaji et al.

Serial No.: 09/868,620

Examiner: Unassigned

Filed: June 25, 2001

P.T.O. Confirmation No.: 1040

FOR: AMORPHOUS NANO-SCALE CARBON TUBE AND PRODUCTION
METHOD THEREFOR

INFORMATION DISCLOSURE STATEMENT
PURSUANT TO 37 CFR 1.97(b)

Commissioner for Patents
Washington, D.C. 20231

July 31, 2002

Sir:

The attention of the Patent and Trademark Office is hereby directed to the documents listed on the attached Form PTO-1449. One copy of each of these documents is attached along with a List of Publications.

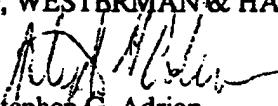
No fee or certification is required in connection with this Information Disclosure Statement, since it is being submitted prior to the issuance of a first official action on the merits or expiration of the three month period following the filing date or the entry of the national stage of the above-captioned application.

The above information is presented so that the Patent and Trademark Office can, in the first instance, determine any materiality thereof to the claimed invention. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the documents cited in the attached Form PTO-1449 be made of record therein and appear on the first page of any patent to issue therefrom.

The Commissioner is authorized to charge our Deposit Account No. 01-2340 for any fee which is deemed by the Patent and Trademark Office to be required to effect consideration of this statement.

Respectfully submitted,

ARMSTRONG, WESTERMAN & HATTORI, LLP


Stephen G. Adrian
Attorney for Applicant
Reg. No. 32,878

SGA/alw

Atty. Docket No. 010756
Suite 1000, 1725 K Street, N.W.
Washington, D.C. 20006
(202) 659-2930



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PATENT TRADEMARK OFFICE

Enclosures: PTO-1449, List of Publications
and references (12)

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AUG 25 2003

Serial No. 09/868,620

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INFORMATION DISCLOSURE CITATION PTO-1449	Atty. Docket No. 010756	Serial No. 09/868,620
	Applicant(s): MATSUI, Hisaji et al.	
	Filing Date: June 25, 2001	Group Art Unit: 1754

FOREIGN PATENT DOCUMENTS

	Document No.	Date	Country	Translation/Abstract (Yes or No)
	AA			
	AB			
	AC			
	AD			

OTHER DOCUMENTS

	AE	
	AF	
	AG	
	AH	
	AI	
	AJ	Toshikatsu ISHIKAWA et al., "Shin Tanso Kogyo", pub. by Kindai Henshuusha on July 1, 1986, Revised Edition, pages 64-65
	AK	Morinobu ENDO et al., "Formation of carbon nanotubes and nanofibers by gas phase pyrolysis and their industrial applications", Part 1, Carbon Nanotube etc. Nanotchnology held by New Energy Development Organization on April 25, 2001.

Examiner

Date Considered

AUG 22 2002
U.S. PATENT & TRADEMARK OFFICE
DISCLOSURE
CITATION
OPTO-4449
JUL 31 2002

INFORMATION DISCLOSURE CITATION OPTO-4449	Atty. Docket No. 010756	Serial No. 09/868,620 TECF
	Applicant(s): MATSUI, Hisaji et al.	
	Filing Date: June 25, 2001	Group Art Unit 1754 AUG 22 2002

FOREIGN PATENT DOCUMENTS

	Document No.	Date	Country	Translation/Abstract (Yes or No)
PL	AA WO 99/43613	09/02/99	Europe	Abstract Yes
PL	AB WO 99/56870	11/11/99	Europe	No Yes
PL	AC 62-500943	04/16/87	Japan (which is a domestic publication of JP translation of WO 86/03455)	No Yes
PL	AD 10-72201	03/17/98	Japan	Abstract Yes

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OTHER DOCUMENTS

PL	AE	Kyotani et al., <i>Chem. Mater.</i> , 1996, Vol. 8, No. 8, pp. 2109-2113, pub. 1996.
PL	AF	Wenlou WANG et al., <i>Electrochemical Society Proceedings</i> , 1977, Vol. 97, No. 14, pp. 814-824, pub. 1997.
PL	AG	N.I. Maksimova et al., <i>Carbon</i> , 1999, Vol. 37, No. 10, pp. 1657-1661, received by JICST on Sept. 30, 1999.
PL	AH	Kawase et al., <i>Carbon</i> , 1999, Vol. 37, No. 3, pp. 522-524, published in February 1999.
PL	AI	E.F. Kukovitskii et al., <i>Chemical Physics Letters</i> , 1997, Vol. 266, pp. 323-328, pub. 1997.
PL	AS	A.C. Dillon et al., <i>Nature</i> 1997, Vol. 386, pp. 377-379, pub. March 1997.
PL	AK	Toshikatsu ISHIKAWA et al., "Shin-Tanso Kogyo", pub. by Kindai Henshuusha on July 1, 1986, Revised Edition, pages 64-65
	AK AL	Morinobu ENDO et al., "Formation of carbon nanotubes and nanofibers by gas phase pyrolysis and their industrial applications", Part 1, Carbon Nanotube etc. Nanotecnology held by New Energy Development Organization on April 25, 2001.

Examiner

Date Considered

10/21/02



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: Hisaji MATSUI, et al.

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Date Allowed: 8-11-03

Group Art Unit: 1754

Examiner: Peter J. Lish

P.T.O. Confirmation No.: 1040

Serial Number: 09/868,620

Filed: June 25, 2001

FOR: AMORPHOUS NANO-SCALE CARBON TUBE AND PRODUCTION METHOD
THEREFOR

REQUEST FOR RETURN OF FORM PTO-1449

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

August 22, 2003

Sir:

On July 31, 2002, an Information Disclosure Statement was filed listing twelve references. When returned with the Office Action of October 25, 2002, ten of the twelve references were initialed, but the last two were lined through as not considered.

Applicants believe that these references were timely presented in the Information Disclosure Statement of July 31, 2002, and here request that the Examiner initial the attached Form PTO-1449 to indicate consideration of these references.

The Examiner is requested to telephone the undersigned should he have any questions concerning this Request.

Respectfully submitted,

ARMSTRONG, WESTERMAN & HATTORI, LLP


Daniel A. Geselowitz, Ph.D.

Agent for Applicants
Reg. No. 42,573

Match and Return

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PATENT TRADEMARK OFFICE

Enclosure: Information Disclosure Statement filed on July 31, 2002
Copy of Form PTO-1449 returned with Office Action of October 25, 2002
Date Stamped Post Card (copy)